

IPW

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
3829

Re Application Of: **BIRKEL, S., ET AL**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/589,372	08/15/2006		278		

Title: **HAIR-TREATMENT AGENT COMPRISING TERPOLYMER OF VINYLPIRROLIDONE, MECHACRYLAMIDE AND VINYLIMIDAZOLE ND ACTIVE INGREDIENTS AND ADDITIVES**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

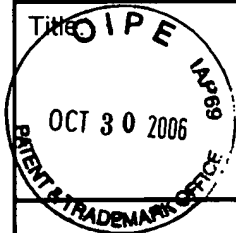
☐ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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(Date)

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Signature

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**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

10/24/06  
\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature of Person Mailing Correspondence

MICHAEL J. STRIKER

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

\_\_\_\_\_  
Signature

Dated: 10/24/06

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

Group:

Attorney Docket # 3829

Applicant(s): BIRKEL, S., ET AL

Serial No.: 10/589,372

Filed: 08/15/2006

For: HAIR-TREATMENT AGENT COMPRISING...

**INFORMATION DISCLOSURE STATEMENT**

October 23, 2006

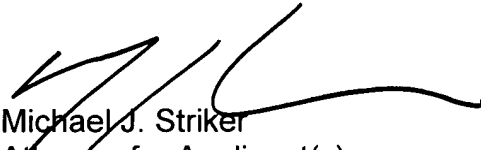
Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

S I R S:

- X   In accordance with the Duty of Disclosure, Applicant(s) submit(s) herewith a copy of Foreign Search Report in a counterpart application and copies of the reference(s) indicated therein.
- X   In the event that the Foreign Search Report is in a foreign language, a translation thereof is herewith submitted.
- X   Attached hereto is a FORM PTO 1449 listing the references.
- X   Attached hereto is a copy of a reference cited in the specification of the application as filed. The specification itself recites the relevance of these documents, and pursuant to M.P.E.P. Section 609 A (3) this represents an acceptable Statement of Relevancy. The reference(s) appear(s) at the following page(s) and line(s) of the specification: Pg 1 Lines 24-25, Pg 1 Line 27, Pg 16 Lines 31-33 and Pg 9 Line 33.
- Applicant petitions for consideration of this Information Disclosure Statement since it is being submitted after receipt of an office action. It is respectfully requested that the required fee be charged to the account of the undersigned: 19-4675.

- ☐ Attached hereto are copies of references cited which may be pertinent to this application. Since the references are in the English language, no statement of relevancy is submitted.
- ☐ Attached hereto is a copy of the Office Action issued in the corresponding German and European applications, together with a translation thereof and copies of the references cited therein. A list of the cited references is also attached.
- ☒ Attached hereto copies of references cited which may be pertinent to this application. An English translation of the reference is also attached.
- ☐ Attached hereto is a Statement of Relevancy and copies of references cited therein.
- ☒ Relevancy is indicated in an English language abstract or an English language equivalent attached hereto.

Respectfully submitted,

  
Michael J. Striker  
Attorney for Applicant(s)  
Reg. No. 27233

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>3829</b>		APPLICATION NO. <b>10/589,372</b>	
				APPLICANT(S) <b>BIRKEL, S., ET AL</b>			
				FILING DATE <b>08/15/2006</b>		GROUP ART UNIT	

OCT 30 2006  
 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		96/19971	07/04/1996	WO (ENGLISH ABSTRACT)			✓	
		03/092640	11/13/2003	WO (ENGLISH ABSTRACT)			✓	

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
			SOEFW-JOURNAL, 12-2003, PAGES 65-72 "VP/METHACRYLAMIDE/VINYL IMIDAZOLE COPOLYMER: EIN NEUER STANDARD FUER HAARSTYLING"
			"FIEDLER LEXIKON DER HILFSSTOFFE" (LEXIKON OF AUXILIARIES) VOLUME 1, 5TH EDITION (2002), PAGES 97-102.

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.